

Permutations, bases and low rank groups

H. Y. Huang

Bristol

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Consider $G = GL(V) \curvearrowright V \setminus \{0\}$.

(a) \forall basis $\{v_1, \dots, v_n\}$ of V , $G_{v_1} \cap \dots \cap G_{v_n} = 1$.

(b) \forall bases $\{v_1, \dots, v_n\}$ and $\{w_1, \dots, w_n\}$ of V .

$\exists! g \in G$ s.t. $v_i^g = w_i \quad \forall i$.

(c) The G_v -orbits are $\{av\}_{a \in \mathbb{F}}$, $V \setminus \langle v \rangle$.

Throughout, let $G \subseteq \text{Sym}(\Omega)$ be a transitive group

and assume $|\Omega| < \infty$

§ 1

Base $\Delta \subseteq \Omega$ s.t. $\bigcap_{\alpha \in \Delta} G_\alpha = 1$.

Base size $b(G)$: minimal size of a base for G .

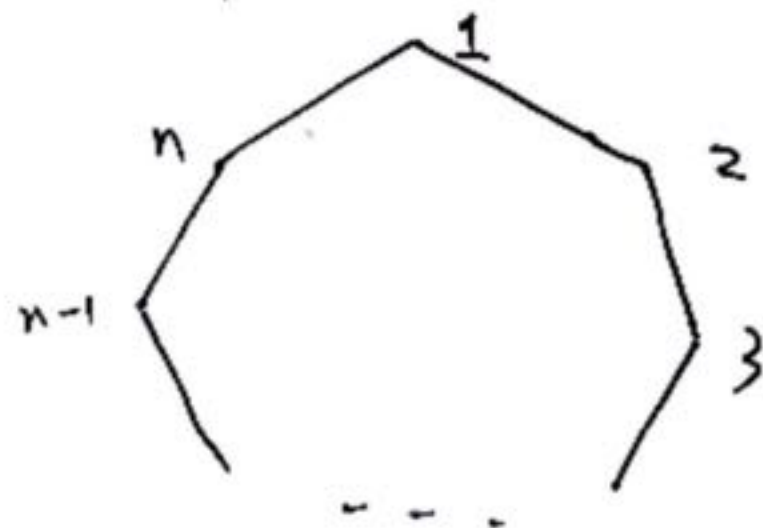
Examples

• $G = GL(V)$, $\Omega = V \setminus \{0\}$.

Δ contains a basis of V , $b(G) = \dim V$.

• $G = S_n$, $|\Omega| = n$, $\Delta = \{1, \dots, n-1\}$, $b(G) = n-1$.

• $G = D_{2n}$, $|\Omega| = n$, $\Delta = \{1, 2\}$, $b(G) = 2$.



P1 Determine $b(G)$.

Blaha, 1992: P1 is NP-hard

Note Let Δ be a base and $x, y \in G$. Then
 $\alpha^x = \alpha^y \forall \alpha \in \Delta \iff xy^{-1} \in \bigcap_{\alpha \in \Delta} G_\alpha$
 $\iff x = y$.

Thus,

elements of $G \xleftrightarrow{|\cdot|^{-1}}$ images of Δ .

In particular, $b(G) \geq \log_{|\Omega|} |G|$.

Remark $b(G) \leq \log_2 |G|$.

Probabilistic method I (Liebeck & Shalev, 1999).

$$Q(G) = \frac{|\{(\alpha, \beta) \in \Omega^2 : G_\alpha \cap G_\beta \neq 1\}|}{|\Omega|^2}$$

Note $Q(G) < 1 \iff b(G) \leq 2$.

Suppose $G_\alpha \cap G_\beta \neq 1$. Then $\exists x \in G_\alpha \cap G_\beta$ of prime order.

Thus, $\alpha, \beta \in \text{fix}_\Omega(x)$ and

$$Q(G) \leq \sum_{\substack{x \in G \\ |x| \text{ prime}}} \left(\frac{|\text{fix}_\Omega(x)|^2}{|\Omega|^2} \right)$$
$$= \sum_{\substack{x \in G \\ |x| \text{ prime}}} \left(\frac{|x^G \cap G_\alpha|^2}{|x^G|} \right) =: \hat{Q}(G).$$

Note $\hat{Q}(G) < 1 \Rightarrow b(G) \leq 2$.

Primitive group $G_\alpha \leq_{\max} G$

e.g. $G = D_{2n}$, $|\Omega| = n$. Then G is primitive $\iff n$ is prime.

Halasi, Liebeck & Maróti, 2019: $b(G) \leq 2 \log_{|\Omega|} |G| + 24$.

Let T be a non-abelian finite simple group.

Homomorph $\text{Hol}(T) = T : \text{Aut}(T)$, which is primitive on T .

$$\therefore b(\text{Hol}(T)) = 3$$

Let $D = \{(t_1, \dots, t_k) : t_i \in T\} \subseteq T^k$.

Then $T^k \subseteq \text{Sym}(\Omega)$ with $\Omega = [T^k : D]$.

Note $G := N_{\text{Sym}(\Omega)}(T^k) \cong T^k \cdot (\text{Out}(T) \times S_k)$ is a "diagonal type" primitive group.

Fawcett, 2013: $b(G) = 2$ only if $3 \leq k \leq |T| - 1$.

Lemma $b(G) = 2 \iff \exists S \subseteq T$, s.t. $|S| = k$ & $\text{Hol}(T)_{\{S\}} = 1$.

Probabilistic method II (H, 2024).

Let $\text{fix}(\sigma, k) = \left\{ S \subseteq T : |S| = k \text{ \& } \sigma \in \text{Hol}(T)_{\{S\}} \right\}$.

Then $b(G) = 2$ if

$$\sum_{\substack{\sigma \in \text{Hol}(T) \\ |\sigma| \text{ prime}}} |\text{fix}(\sigma, k)| < \binom{|T|}{k}$$

Theorem (H, 2024)

If $3 \leq k \leq |T| - 3$, then $\exists S \subseteq T$ s.t. $|S| = k$ & $\text{Hol}(T)_{\{S\}} = 1$

Theorem (Fawcett 2013 ; H, 2024)

P1 is done if G is "diagonal type" primitive.

§ 2

Note $b(G) = \min \{k \mid G \text{ has a regular orbit on } \Omega^k\}$.

$r(G) := \# \text{ regular } G\text{-orbits on } \Omega^{b(G)}$.

Examples

- $G = GL(V)$, $\Omega = V \setminus \{0\} \Rightarrow r(G) = 1$.

- $G = S_n$, $|\Omega| = n \Rightarrow r(G) = 1$.

- $G = D_{2n}$, $|\Omega| = n \Rightarrow r(G) = \lfloor \frac{n}{2} \rfloor - 1$.

P2 Classify G with $r(G) = 1$.

Example $G = PGL_2(q)$, $\Omega = \{2\text{-subsets of } \{1\text{-spaces of } \mathbb{F}_q^2\}\}$.

Then $\{\alpha, \beta\}$ is a base $\Leftrightarrow |\alpha \cap \beta| = 1$. So $r(G) = 1$.

Results

Burness & H, 2022/23: G almost simple, $G_\alpha \leq_{\text{max}} G$ soluble \checkmark

(e.g. $(G, G_\alpha) = (PGL_2(q), D_{2(q-1)})$).

H, 2024: G "diagonal type" primitive & $b(G) = 2 \checkmark$

Theorem (Freedman, H, Lee & Rekvényi, 2024+)

G "diagonal type" primitive & $b(G) > 2 \Rightarrow r(G) > 1$.

§ 3

Rank # G_Ω -orbits on Ω . (# G -orbits on Ω^2).

Example $G = GL_n(q)$, $\Omega = \mathbb{F}_q^n \setminus \{0\} \Rightarrow \text{rank}(G) = 2$.
 affine

Note $\text{rank}(G) = 2 \iff G$ is 2-transitive (classified via CFSG)
 almost simple
 Burnside (~1905)

P3 classify rank 3 permutation groups.

Examples

- $G = GL_n(3)$ on $\mathbb{F}_3^n \setminus \{0\}$. - imprimitive
- $G = S_n$, $\Omega = \{2\text{-subsets of } [n]\}$. - primitive

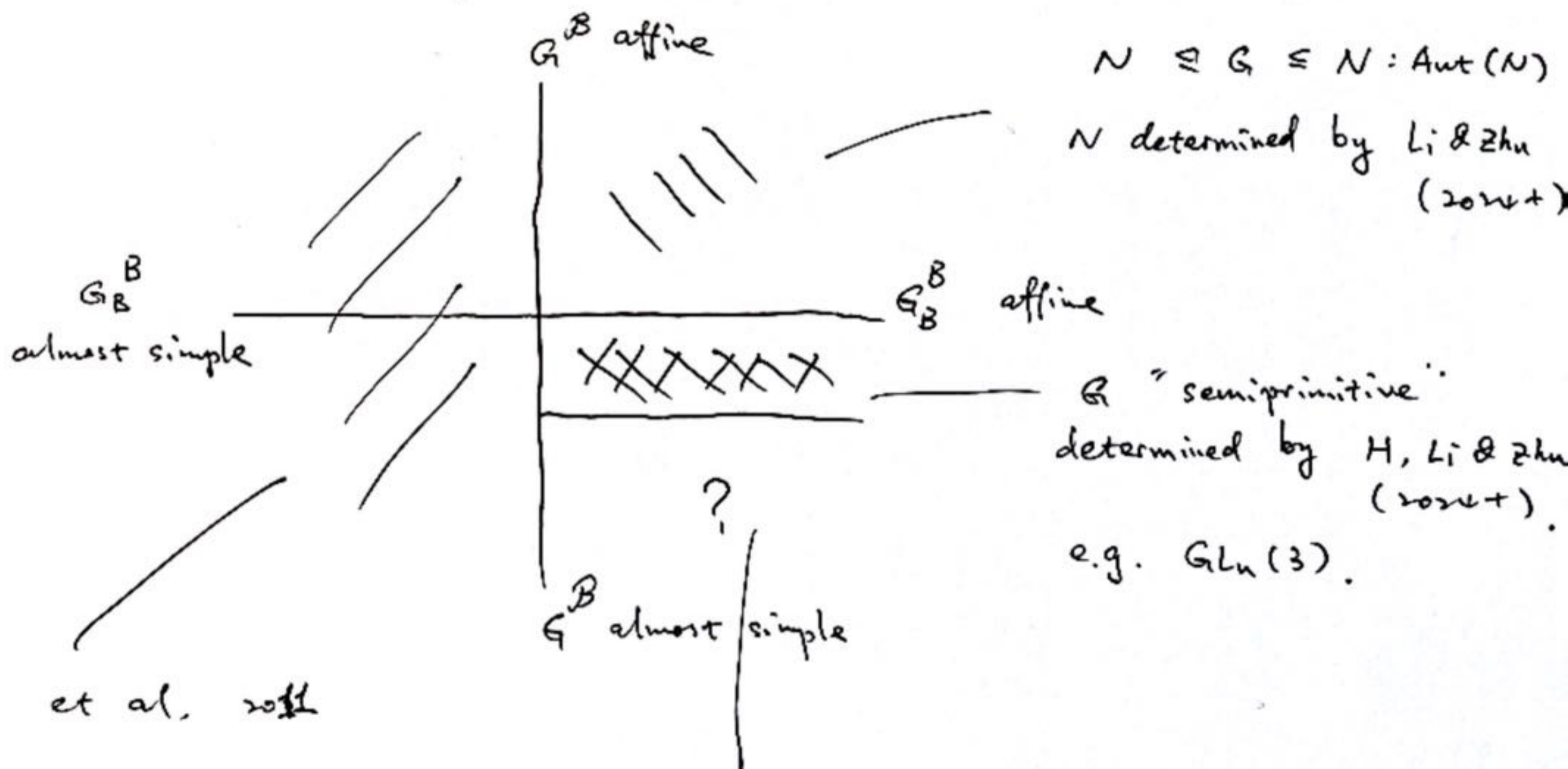
Primitive rank 3 groups: Classified 40yrs ago
 (Liebeck, Saxl, ...).

Lemma Let G be an imprimitive rank 3 group. Then

- $\exists!$ non-trivial G -invariant partition $\mathcal{B} = \mathcal{B}^G$ of Ω .

- $G_B^{\mathcal{B}}$ and $G_B^{\mathcal{B}}$ are 2-transitive.

e.g. $G = GL_n(3)$, $\Omega = \mathbb{F}_3^n \setminus \{0\} \Rightarrow \mathcal{B} = \{1\text{-spaces}\}$, $G_B^{\mathcal{B}} = PGL_n(3)$, $G_B^{\mathcal{B}} = S_2$



Devillers et al, 2011

H, Li & Zhu (2024+): A reduction theorem.
 e.g. $(G_B^{\mathcal{B}})_B$ is transitive on $B' \in \mathcal{B} \setminus \{B\}$.